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Attorney Docket No.: AM3299/T29900
Client Reference No.: 003299US
TTC Docket No. 16301-029900

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PTO/PCI HET

2002

On ______ April 23, 2002

TOWNSEND and TOWNSEND and CREW LLP

Sara B. McPeak

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Frederic Gaillard et al.

Application No.: 10/049,689

Filed: February 15, 2002

For: INTEGRATION SCHEME USING SELF-PLANARIZED DIELECTRIC LAYER FOR SHALLOW TRENCH

ISOLATION

BOX PCT

Assistant Commissioner for Patents U. S. Patent and Trademark Office Washington, D.C. 20231

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Sir:

The references cited on attached form PTO/SB/08A is being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the European application. It is respectfully requested that the cited references be expressly considered during the prosecution of this

Frederic Gaillard et al. Application No.: 10/049, Page 2

application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Roger T. Barrett

Reg. No. 41,599

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

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Substitute for form 1449A/PTO				Complete if Known			
				Application Number	10/049,689		
INFC	RMATION	DIS	CLOSURE	Filing Date	February 15, 2002	-	
STATEMENT BY APPLICANT				First Named Inventor	Frederic Gaillard et al.		
•				Group Art Unit	Unassigned		
	(use as many she	ets as	necessary)	Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	AM3299/T29900		

U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.'	U.S. Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY			
	AA	5,786,262	S M Jangetal.	07/28/1998			
	AB	5,886,391	Ardavan Niroomand et al.	03/23/1999			
	AC	5,930,644	Chi-Shiung Tsai et al.	07/27/1999			
	AD						
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines,		
		Office ³	Number ⁴	Kind Code ⁵ (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Т⁵	
	AN	EP	0 819 786	A2	Zhao Ganming et al.	01/21/1998			
	AO	EP	0 908 938	A2	Gruening Ulrike et al	04/14/1999			
	AP								
	AQ								
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EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached